

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
31 May 2001 (31.05.2001)

PCT

(10) International Publication Number
WO 01/37681 A1

(51) International Patent Classification⁷: **A23L 1/30**,
A61K 31/575, A23L 1/305

(21) International Application Number: PCT/US00/01641

(22) International Filing Date: 24 January 2000 (24.01.2000)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
09/444,713 24 November 1999 (24.11.1999) US

(71) Applicant: **ARCHER-DANIELS-MIDLAND COMPANY** [US/US]; 4666 Faries Parkway, Decatur, IL 62526 (US).

(72) Inventor: **GOTTEMOLLER, Thomas, V.**; 1565 Alexander Drive, Mount Zion, IL 62549 (US).

(74) Agent: **LUDWIG, Steven, R.**; Sterne, Kessler, Goldstein & Fox P.L.L.C., Suite 600, 1100 New York Avenue, N.W., Washington, DC 20005-3934 (US).

(81) Designated States (*national*): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published:

— *With international search report.*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: PHYTOSTEROL AND PHYTOSTANOL COMPOSITIONS

(57) Abstract: The present invention relates to an edible phytosterol or phytostanol composition useful in the food industry and to methods of preparing such an edible composition. The edible composition comprises a phytosterol or phytostanol, an isolated water soluble protein, and optionally an emulsifier, wherein the weight ratio of the protein to the phytosterol or phytostanol is from about 0.2:1 to about 10:1, and the weight ratio of the emulsifier to the phytosterol or phytostanol is from about 0.2:1 to about 5:1. The invention also relates to edible products containing such a composition and to methods for the production of the edible products.



WO 01/37681 A1

Phytosterol and Phytostanol Compositions

Background of the Invention

Field of the Invention

5 The present invention relates to an edible phytosterol or phytostanol composition useful in the food industry and a method of preparing such an edible composition. The invention also relates to edible products containing such a composition and to methods for the production of the edible products.

Related Art

10 Phytosterols are plant sterols structurally similar to cholesterol that have been known for many years to reduce cholesterol absorption and serum cholesterol levels while not being absorbed themselves. Chemically, natural sterols are C₂₆-C₃₀ steroid alcohols which have an aliphatic side chain at the C₁₇ position. The differences between a cholesterol molecule and a phytosterol molecule are primarily found in the structure of the side chain of the basic frame.
15 Plant sterols may also be hydrogenated to produce plant stanols, *i.e.*, phytostanols.

Since phytosterols are natural components of vegetable fats and oils which are non-toxic and inexpensive byproducts of food processing, they may be important in the treatment of individuals with mildly-increased serum cholesterol, or for the general population in food products or dietary supplements. However,
20 the use of phytosterols has not been very extensive primarily due to their poor solubility; they are poorly soluble in fats and insoluble in water. Therefore, the production of edible products containing phytosterols is technically difficult, and the final products are often not organoleptically pleasing in structure and mouth-
25 feel.

Several investigators have proposed ways to increase the solubility or bioavailability of phytosterols in order to make them more useful. For example, attempts have been made to increase the solubility of phytosterols by producing fat-soluble forms, such as fatty acid esters, by dissolving or emulsifying the phytosterols or their derivatives in a fat or fat component or by other esterification procedures. Methods of preparing fat-soluble phytosterol esters are disclosed, for example, in U.S. Patent No. 5,502,045.

In addition, solubilized sterol compositions are disclosed, for example, in EP 839 458 and in U.S. Patent No. 5,244,887. EP 839 458 describes oil-solubilized solutions consisting of sitosterol-containing phytosterols, vitamin E and emulsifiers which can be added to foods. U.S. Patent No. 5,244,887 describes the use of stanols, phytosterol derivatives in which all carbon-carbon bonds in the rings are saturated, as food additives to reduce cholesterol absorption from foods and beverages which contain cholesterol. The disclosed method comprises the step of dissolving a stanol selected from the group consisting of clionastanol, 22,23 dihydrobrassicastanol, campestanol, sitostanol and mixtures thereof, with an edible solubilizing agent such as triglyceride, an effective amount of a suitable antioxidant such as tocopherol and an effective amount of a suitable dispersant such as lecithin.

Phytosterol compositions which do not contain triglycerides or oils have also been disclosed. International Publication No. WO 98/58554 describes a premix useful in the food industry, particularly in bakery products. The disclosed premix contains a pulverized plant sterol and/or stanol and a conventional foodstuff raw material that is selected from a group comprising cereal, leguminous plants, milk powder, fruits, vegetables and/or berries, fish, meat, bone, feather and rind, and has a mean particle size of less than about 600 μ m.

U.S. Patent No. 5,932,562 describes compositions and methods useful for reducing cholesterol absorption from the intestine. The disclosed phytosterol composition is in solid, but water soluble form, and comprises an aqueous homogeneous micellar mix of a plant sterol and lecithin which has been dried to

a finely divided water soluble powder, wherein the mole ratio of said plant sterol to lecithin is within the range of 1:1 to 1:10.

Currently, physical mixtures of phytosterols and food products and/or ingredients do not produce a smooth product without chemical modification of the phytosterols. As such, there is a need in the art for edible products containing phytosterols and/or phytostanols which do not require the use of triglycerides or oils as a carrier, can be effectively incorporated into a variety of edible consumer products regardless of cholesterol or fat content and remain homogeneously dispersed, are convenient and cost-effective to produce, are stable in storage, and contain a smooth and pleasing mouthfeel.

Summary of the Invention

It is therefore an object of the present invention to provide an edible phytosterol or phytostanol composition which can be utilized as such as a functional food or incorporated in aqueous or powder form into foods and beverages with improved stability and without chemical modification, and which imparts a smooth and pleasing mouthfeel. Other objects, features and advantages of the present invention will be set forth in the detailed description of preferred embodiments that follows, and in part will be apparent from the description or can be learned by practice of the invention. These objects and advantages of the invention will be realized and attained by the compositions and methods particularly pointed out in the written description and claims hereof.

These and other objects are accomplished by the compositions and methods of the present invention, which, in a first embodiment, are broadly directed to an aqueous edible composition comprising a phytosterol or phytostanol and an isolated water soluble protein, wherein the weight ratio of the protein to the phytosterol or phytostanol is from about 0.2:1 to about 10:1. Other aspects and embodiments of the present invention will be described in more detail below.

It is to be understood that both the foregoing general description and the following detailed description are exemplary and explanatory only and are intended to provide further explanation of the invention as claimed.

Detailed Description of the Preferred Embodiments

5 In one aspect of the invention there is provided a non-soluble, water dispersible aqueous edible composition comprising a phytosterol and an isolated water soluble protein, wherein the weight ratio of the protein to the phytosterol or phytostanol is from about 0.2:1 to about 10:1.

10 As used herein, the term "phytosterol" includes all phytosterols, for example, sitosterol, campesterol, stigmasterol, taraxasterol, and any derivatives or reduction products of the foregoing. The term "phytostanol" as used herein means a hydrogenated form of a phytosterol. Hence, it will be appreciated that hydrogenation modifications, as well as modifications of phytosterol compounds to include, for example, small side chains, are also well within the scope of the
15 present invention.

 Any phytosterol or phytostanol which can be incorporated into an edible aqueous mixture and imparts a smooth and pleasing mouth-feel can be utilized in the present invention. In a preferred embodiment, the phytosterol or phytostanol is selected from the group consisting of sitosterol, sitostanol,
20 campesterol, campestanol, taraxasterol, stigmasterol, clionastanol, brassicastanol and brassicasterol, or mixtures thereof. Commercially available phytosterols are often mixtures of phytosterols that are also appropriate for use according to the present invention.

25 The phytosterols which are used in the present invention can be procured from a variety of natural sources. Phytosterols can be obtained from vegetable oils, vegetable oil sludge, vegetable oil distillates, and other plant oil sources such as tall oils by relatively simple and inexpensive means. For example, a preparation of sterols from vegetable oil sludge by using solvents such as

methanol is taught in U.S. Patent No. 4,420,427. Further, sitosterol can be obtained from cold pressed wheat germ oil, soy extract, or rice extract. (It will be appreciated that natural sitosterol contains about 40% alpha-sitosterol and about 60% beta-sitosterol. Both the alpha and beta forms of sitosterol can be used to form the edible phytosterol compositions of the present invention.) Stigmasterol is also found in trace amounts in cold pressed wheat germ oil, soy extract, saw palmetto and rice extract, and taraxasterol can be obtained from licorice root extract and dandelions.

Although phytostanols are found in small amounts in nature, they can easily be made from the much more abundant phytosterols by hydrogenation. Methods of preparing phytostanols from phytosterols are well-known in the art.

The edible phytosterol/phytostanol composition of the invention also comprises an isolated water soluble protein. As used herein, the term "protein" refers to a molecule comprised of one or more peptides. The term "isolated" means that the material is removed from its original environment (*e.g.*, the natural environment if it is naturally occurring). It is devoid of, in whole or part, components with which the protein is normally associated in nature. For example, a naturally-occurring polypeptide present in a living animal is not isolated, but the same polypeptide, separated from some or all of the coexisting materials in the natural system, is isolated. Such a polypeptide could be part of a composition and still be isolated in that such composition is not part of its natural environment. The term "isolated" does not necessarily denote the method by which the proteins are obtained or the level of purity of the preparations. Thus, an isolated protein of the present invention can be obtained from its natural source, examples of which are disclosed herein. In addition, an isolated protein of the present invention can also be produced using recombinant DNA technology or chemical synthesis.

The protein to be used in preparing the edible composition according to the present invention includes animal and/or plant proteins. In particular, the protein of the present invention can be isolated from any soluble protein source

such as milk, whey, wheat, soy or any other vegetable source. Various methods for the isolation of the proteins for use in the invention can be accomplished by procedures well known in the art. In a preferred embodiment, the protein isolates contain from about 30% to about 99% protein on a moisture free basis.

5 In the present invention, it is preferable to use a water soluble protein. As used herein, the term "water soluble" means water soluble or water dispersible. A water soluble compound can be inherently water soluble or can be made water soluble by the addition of a solubilizing compound, such as a coupling agent, a co-surfactant, or a solvent. Preferably, the isolated water soluble protein has a
10 Nitrogen Solubility Index (NSI) of about 30% to about 95%. Techniques for determining the NSI of a protein are well known in the art.

In a preferred embodiment, the protein source is selected from the group consisting of whey protein, whey protein concentrate, whey powder, soy protein, soy protein isolate, caseinate, (*e.g.*, sodium caseinate, sodium calcium caseinate,
15 calcium caseinate, potassium caseinate), wheat protein, lupin, corn gluten, egg albumen and combinations thereof. More preferably, the protein is soy protein or caseinate. In another preferred embodiment, the weight ratio of the protein to the phytosterol or phytostanol in the edible composition of the invention is from about 1:1 to about 5:1.

20 In another embodiment of the invention, the phytosterol or phytostanol composition further comprises an emulsifier. By the term "emulsifier" is meant a natural or synthetic substance that promotes the formation and improves the stability of emulsions. The unifying characteristic of emulsifiers is the presence of a hydrophilic group and a lipophilic group on the same molecule. The
25 variability in the performance of different emulsifiers is due to the relative potency of the two kinds of regions, their spatial relationship, the size of the entire molecule and certain other factors. Performance testing is usually the only solution to the problem of selecting an appropriate emulsifier or emulsifier blend.

In a preferred embodiment, the emulsifier used in the invention is a low
30 HLB emulsifier. As used herein, the hydrophilic-lipophilic balance (HLB) of an

emulsifier is used to classify the emulsifier in terms of its relative simultaneous attraction to the oil phase and the water phase of an emulsion. *See, e.g.,* Schick, *Nonionic Surfactants: Physical Chemistry*, Marcel Dekker, Inc., New York, N.Y., pp. 439-47 (1987). The HLB value of an emulsifier is a term well known to those skilled in the art as are techniques for ascertaining the HLB value.

The HLB value is related to the solubility of the emulsifier, wherein an emulsifier with a low HLB value, *e.g.*, about 10 or less, tends to be oil soluble and an emulsifier with a high HLB value, *e.g.*, greater than about 10, tends to be water soluble. For purposes of this invention, a low HLB emulsifier has an HLB value less than about 10 and a high HLB emulsifier has an HLB greater than about 10. In a preferred embodiment, the emulsifier used in the invention has an HLB value from about 0.1 to about 10. In another preferred embodiment, the low HLB emulsifier is combined with a high HLB emulsifier. For example, polysorbate 60, 65 or 80 can be combined with sodium stearyl lactylate. Preferably, the high HLB emulsifier has a HLB value from about 10 to about 14.

In yet another preferred embodiment of the invention, the emulsifier of the phytosterol/phytostanol composition is selected from the group consisting of lecithin, *e.g.*, deoiled lecithin or modified lecithin, monoglycerides, *e.g.*, distilled monoglycerides or ethoxylated monoglycerides, diglycerides, polyglycerol esters, propylene glycol esters, polysorbates, sodium stearyl lactylate, sucrose esters, and mixtures thereof. More preferably, the emulsifier is lecithin or monoglycerides and diglycerides of fatty acids.

Monoglycerides can be prepared from many types of fats and oils, such as lard and vegetable oils. The monoglycerides suitable for use in accordance with this invention may be prepared, for example, by conventional methods of glycerolysis of edible fats and oils. Distilled monoglycerides may be derived from a variety of sources including, for example, cottonseed oil, corn oil, palm oil, peanut oil, rapeseed oil, soybean oil and canola oil.

Lecithin is a phosphatide found in all living plants and animals. Lecithin is a mixture of the diglycerides of stearic, palmitic, oleic, linoleic and linolenic acids linked to the choline ester of phosphoric acid. Examples of lecithins which may be used include those derived from plants such as soybean, rapeseed, peanut, safflower, cotton seed, sunflower or corn, and those derived from animal sources such as egg yolk.

Lecithin is prepared commercially primarily from soybean oil. It exists preformed in crude soybean oil, and the commercial method of preparation involves precipitation from the oil and subsequent purification. It may be further processed by bleaching, fractionation, hydrolysis, acetylation, extraction, hydroxylation, and the like. In a preferred embodiment, a modified or deoiled lecithin derived from soybean oil is used. Particular reference is made to 21 C.F.R. § 184.1400 which describes the use conditions for commercial lecithin.

“Modified” lecithin refers to, but is not limited to, acetylation, hydroxylation, hydrogenation, hydrolysis products of lecithin, chlorination, bromination, iodination, halogenation, phosphorylation and sulfonation. In addition, any other modification known to those in the art is included within the scope of the invention. *See, e.g.,* Szuhaj and List, eds., *Lecithins*, pp. 203-208, American Oil Chemists Society (1985), all of which is incorporated herein by reference.

In many applications, a solid granular or powdered product is desired. Such a product can be made by removing the neutral triglyceride oil from the lecithin. The art separates the oil by extracting with acetone (Szuhaj and List, eds., *Lecithins*, American Oil Chemists Society (1985)), and this is referred to as acetone deoiling.

In another preferred embodiment, the weight ratio of the emulsifier to the phytosterol or phytostanol in the edible composition of the invention is from about 0.2:1 to about 5:1. More preferably, the weight ratio of the emulsifier to the phytosterol or phytostanol is from about 0.5:1 to about 2:1.

In another aspect of the invention there is provided a method of making a non-soluble, water dispersible aqueous edible composition. The method comprises the step of homogenizing an aqueous mixture of an isolated water soluble protein and a phytosterol or phytostanol, wherein the weight ratio of the protein to the phytosterol or phytostanol is from about 0.2:1 to about 10:1, and wherein an aqueous edible composition is produced.

In a preferred embodiment, the method of making the aqueous edible composition comprises the steps of first blending an aqueous mixture of an isolated water soluble protein with a phytosterol or phytostanol, processing the mixture by heating, and then homogenizing the mixture to produce an aqueous edible composition.

The protein to be used in preparing the edible composition according to the present invention includes animal and/or plant proteins. In particular, the protein of the present invention can be isolated from any water soluble protein source such as milk, whey, wheat, soy or any other vegetable source.

In a preferred embodiment, the protein source is selected from the group consisting of whey protein, whey protein concentrate, whey powder, soy protein, soy protein isolate, caseinate, wheat protein, lupin, corn gluten, egg albumen and combinations thereof. More preferably, the protein is soy protein or caseinate. In another preferred embodiment, the weight ratio of the protein to the phytosterol or phytostanol in the edible composition is from about 1:1 to about 5:1.

The phytosterol or phytostanol blended with the aqueous mixture can be any which can be incorporated into an edible aqueous mixture and which imparts a smooth and pleasing mouth-feel. In a preferred embodiment, the phytosterol or phytostanol is selected from the group consisting of sitosterol, sitostanol, campesterol, campestanol, taraxasterol, stigmasterol, clionastanol, brassicastanol and brassicasterol, or mixtures thereof. Commercially available phytosterols are often mixtures of phytosterols that are also appropriate for use according to the present invention.

In a preferred embodiment, the phytosterols or phytostanols are ground or prilled to produce a powdered product before they are added to the aqueous mixture. Prilling is a well known process, and any prilling process known in the art may be used in the present invention. *See, e.g.*, U.S. Patent No. 4,238,429. Preferably, the phytosterols or phytostanols are spray prilled. Grinding or prilling the phytosterols or phytostanols prior to their addition to the aqueous mixture allows for a free-flowing product, which helps incorporate the compounds into the aqueous system.

In another preferred embodiment, the aqueous mixture further comprises an emulsifier. Preferably, the emulsifier utilized in the edible composition is a low HLB emulsifier that has an HLB value from about 0.1 to about 10. Optionally, the low HLB emulsifier is combined with a high HLB emulsifier having a HLB value from about 10 to about 14.

In another preferred embodiment, the emulsifier is selected from the group consisting of lecithin, *e.g.*, deoiled or modified lecithin, monoglycerides, *e.g.*, distilled or ethoxylated monoglycerides, diglycerides, polyglycerol esters, propylene glycol esters, polysorbates, sodium stearyl lactylate, sucrose esters, and mixtures thereof. More preferably, the emulsifier is lecithin or monoglycerides and diglycerides of fatty acids.

The weight ratio of the emulsifier to the phytosterol or phytostanol can vary from about 0.2:1 to about 5:1. Preferably, the weight ratio of the emulsifier to the phytosterol or phytostanol is from about 0.5:1 to about 2:1.

The aqueous mixture comprising an isolated water soluble protein, a phytosterol or phytostanol and optionally an emulsifier is then heated to an appropriate temperature. In a preferred embodiment, the aqueous mixture is heated to a temperature of about 60° C to about 145° C. More preferably, the mixture is heated to a temperature of about 80° C to about 100° C.

The homogenizing step may be accomplished with any conventional homogenizing equipment with either a single stage or a two-stage operation. The

aqueous mixture is homogenized at a pressure which allows the integration of the phytosterols or phytostanols with the protein and the emulsifier. Preferably, the aqueous mixture is homogenized at a pressure between 1,000 and 10,000 pounds per square inch. More preferably, the mixture is homogenized at a pressure
5 between 2,000 and 5,000 pounds per square inch.

The aqueous edible phytosterol or phytostanol composition may be used as an ingredient in the manufacture of another food product, as an additive in food products or alone as a functional food. For example, the aqueous edible composition may be used as an ingredient in a beverage, frozen desert, baked
10 good, meat product or any other food product where a liquid ingredient can be used. The composition has a smooth mouth-feel which does not impart any graininess.

In another embodiment of the invention, the aqueous phytosterol or phytostanol composition is dried after homogenization to produce a water dispersible powder. The process used for drying the aqueous mixture is not
15 critical. Any process known in the art which would produce a good free-flowing dispersible product may be used. For example, the aqueous mixture can be spray-dried, flash-dried, freeze-dried or dried in any other way which produces a powder either directly or through a grinding step.

The dried powder can then be used as an ingredient in a finished food product which requires powder as an ingredient, as a food additive or alone as a functional food. Further, the powder is storage stable. The co-dried phytosterol/phytostanol-protein powder of the invention allows high melting hydrophobic phytosterols and phytostanols to be incorporated into aqueous
20 products such as, *e.g.*, nutritional beverages or powdered mixes.
25

In another aspect of the present invention there is provided an edible phytosterol or phytostanol composition which is produced by any one of the above methods.

In another aspect of the invention there is provided a method of producing an edible product. The method comprises blending a first ingredient comprising one or more edible compounds in an appropriate form with a second ingredient comprising the edible phytosterol or phytostanol composition of the present invention to produce a mixture, and then processing the mixture to produce an edible product. In one embodiment, the edible product is a solid edible product. In another embodiment, the edible product is a beverage. It is also to be understood that the edible phytosterol or phytostanol composition can be added before, during or after the production of the edible product.

In yet another aspect of the present invention there is provided an edible product which comprises the phytosterol or phytostanol composition of the invention. There are no restrictions to the foods and beverages which may contain the edible composition of the present invention. The edible product need not contain cholesterol or triglycerides, and the product may be either in solid or liquid form. Due to the unique methods and compositions of the invention, the phytosterols or phytosterols will remain dispersed in the edible product.

All patents and publications cited in this disclosure are indicative of the level of skill of those skilled in the art to which this invention pertains and are all herein incorporated by reference in their entirety.

Having now generally described the invention, the same will be more readily understood through reference to the following Examples which are provided by way of illustration, and are not intended to be limiting of the present invention, unless specified.

Example 1

Preparation of a Phytosterol Composition

A phytosterol composition was prepared using the following method. 1056 grams of soy protein isolate was added to 8444 grams of 120° F water under

good agitation and then hydrated for 15 minutes. 200 grams of phytosterols and 300 grams of deoiled lecithin was added, and the liquid mixture was agitated for 5 minutes. The mixture was then added to a Groen kettle (Groen Manufacturing Co., Jackson, Mississippi) and heated to a temperature of 185° F for 10 minutes. The liquid mixture was then homogenized at 3500/500 psi and spray dried with a 480° F inlet and a 180° F outlet temperature.

Example 2

Preparation of a Phytosterol Composition Using Caseinate

A phytosterol composition using caseinate as the isolated protein was prepared using the following method. 1056 grams of sodium caseinate was added to 8444 grams of 120° F water under good agitation and then hydrated for 15 minutes. 200 grams of phytosterols and 300 grams of deoiled lecithin was added, and the liquid mixture was agitated for 5 minutes. The mixture was then added to a Groen kettle (Groen Manufacturing Co., Jackson, Mississippi) and heated to a temperature of 185° F for 10 minutes. The liquid mixture was then homogenized at 3500/500 psi and spray dried with a 480° F inlet and a 180° F outlet temperature.

Example 3

Preparation of a Phytosterol Composition Using Mono- and Diglycerides

A phytosterol composition using monoglycerides and diglycerides as the emulsifier was prepared using the following method. 1056 grams of soy protein isolate was added to 8444 grams of 120° F water under good agitation and then hydrated for 15 minutes. 200 grams of phytosterols and 300 grams of mono- and diglycerides was added, and the liquid mixture was agitated for 5 minutes. The

mixture was then added to a Groen kettle (Groen Manufacturing Co., Jackson, Mississippi) and heated to a temperature of 185° F for 10 minutes. The liquid mixture was then homogenized at 3500/500 psi and spray dried with a 480° F inlet and a 180° F outlet temperature.

5

Example 4

Preparation of a Phytosterol Composition with a Low Protein Content

10

A phytosterol composition with a lower protein content was prepared using the following method. 150 grams of soy protein isolate was added to 9350 grams of 120° F water under good agitation and then hydrated for 15 minutes. 200 grams of phytosterols and 300 grams of deoiled lecithin was added, and the liquid mixture was agitated for 5 minutes. The mixture was then added to a Groen kettle (Groen Manufacturing Co., Jackson, Mississippi) and heated to a temperature of 185° F for 10 minutes. The liquid mixture was then homogenized at 3500/500 psi and spray dried with a 480° F inlet and a 180° F outlet temperature.

15

Example 5

Preparation of a Phytosterol Composition with a Low Emulsifier Content

20

A phytosterol composition was prepared with a lower emulsifier content using the following method. 1056 grams of soy protein isolate was added to 8644 grams of 120° F water under good agitation and then hydrated for 15 minutes. 200 grams of phytosterol and 100 grams of deoiled lecithin was added, and the liquid mixture was agitated for 5 minutes. The mixture was then added to a Groen kettle (Groen Manufacturing Co., Jackson, Mississippi) and heated to a temperature of 185° F for 10 minutes. The liquid mixture was then homogenized

-15-

at 3500/500 psi and spray dried with a 480° F inlet and a 180° F outlet temperature.

5 In view of the foregoing description taken with the Examples, it is understood that certain modifications should be and will be apparent to those of ordinary skill in the art, and that such modifications to the precise methods and compositions as set forth herein are intended to come within the spirit and scope of the invention as defined in the appended claims either literally or by the doctrine of equivalents.

What Is Claimed Is:

1. A non-soluble, water-dispersible aqueous edible composition comprising:

5 (a) a compound selected from the group consisting of phytosterols and phytostanols; and

(b) an isolated water soluble or water dispersible protein; wherein the weight ratio of said protein (b) to said compound (a) is from about 0.2:1 to about 10:1.

10 2. The composition of claim 1, wherein said composition has been dried to a water dispersible powder.

3. The composition of claim 1 further comprising an emulsifier, wherein the weight ratio of said emulsifier to said compound (a) is from about 0.2:1 to about 5:1.

15 4. The composition of claim 2 further comprising an emulsifier, wherein the weight ratio of said emulsifier to said compound (a) is from about 0.2:1 to about 5:1.

20 5. The composition of claim 1, wherein said compound (a) is selected from the group consisting of sitosterol, sitostanol, campesterol, campestanol, taraxasterol, stigmasterol, clionastanol, brassicastanol and brassicasterol, or mixtures thereof.

6. The composition of claim 1, wherein said protein (b) is isolated from a milk or a vegetable protein source.

7. The composition of claim 6, wherein said protein (b) is selected from the group consisting of whey protein, soy protein, wheat protein, lupin, corn gluten and caseinate.

8. The composition of claim 3, wherein said emulsifier has a hydrophilic-lipophilic balance value from about 0.1 to about 10.

9. The composition of claim 8, further comprising an emulsifier having a hydrophilic-lipophilic balance value from about 10 to about 14.

10. The composition of claim 3, wherein said emulsifier is selected from the group consisting of lecithin, monoglycerides, diglycerides, polyglycerol esters, propylene glycol esters, polysorbates, sodium stearyl lactylate, maltodextrins and sucrose esters.

11. The composition of claim 1, wherein the weight ratio of said protein (b) to said compound (a) is from about 1:1 to about 5:1.

12. The composition of claim 3, wherein the weight ratio of said emulsifier to said compound (a) is from about 0.5:1 to about 2:1.

13. A method of making a non-soluble, water-dispersible aqueous edible composition comprising the step of:

homogenizing an aqueous mixture of an isolated water soluble or water dispersible protein and a compound selected from the group consisting of phytosterols and phytostanols, wherein the weight ratio of said protein to said compound is from about 0.2:1 to about 10:1, and wherein an essentially non-soluble, water dispersible aqueous edible composition is produced.

-18-

14. The method of claim 13, wherein said aqueous mixture further comprises an emulsifier, wherein the weight ratio of said emulsifier to said compound is from about 0.2:1 to about 5:1.

5 15. The method of claim 13, further comprising prilling said compound prior to the homogenizing step.

16. The method of claim 13, further comprising grinding said compound prior to the homogenizing step.

17. The method of claim 13, further comprising drying the mixture after the homogenizing step to produce a water dispersible powder.

10 18. The method of claim 14, further comprising drying the mixture after the homogenizing step to produce a water dispersible powder.

15 19. The method of claim 13, wherein said compound is selected from the group consisting of sitosterol, sitostanol, campesterol, campestanol, taraxasterol, stigmasterol, clionastanol, brassicastanol and brassicasterol, or mixtures thereof.

20. The method of claim 13, wherein said protein is isolated from a milk or a vegetable source.

20 21. The method of claim 20, wherein said protein is selected from the group consisting of whey protein, soy protein, wheat protein, lupin, corn gluten and caseinate.

22. The method of claim 14, wherein said emulsifier has a hydrophilic-lipophilic balance value from about 0.1 to about 10.

23. The method of claim 22, wherein said aqueous mixture further comprises an emulsifier having a hydrophilic-lipophilic balance value from about 10 to about 14.

24. The method of claim 14, wherein said emulsifier is selected from the group consisting of lecithin, monoglycerides, diglycerides, polyglycerol esters, propylene glycol esters, polysorbates, sodium stearyl lactylate, maltodextrins and sucrose esters.

25. The method of claim 13, wherein the weight ratio of said protein to said compound is from about 1:1 to about 5:1.

26. The method of claim 14, wherein the weight ratio of said emulsifier to said compound is from about 0.5:1 to about 2:1.

27. A method of making a non-soluble, water dispersible aqueous edible composition comprising the steps of:

(a) blending an aqueous mixture of an isolated water soluble or water dispersible protein with a compound selected from the group consisting of phytosterols and phytostanols, wherein the weight ratio of said protein to said compound is from about 0.2:1 to about 10:1;

(b) processing the mixture produced in step (a) by heating; and
(c) processing the mixture produced in step (b) by homogenizing to produce an essentially non-soluble, water dispersible aqueous edible composition.

28. The method of claim 27, wherein at step (a) said aqueous mixture further comprises an emulsifier, wherein the weight ratio of said emulsifier to said compound is from about 0.2:1 to about 5:1.

29. The method of claim 27, further comprising prilling said compound prior to the blending of step (a).

30. The method of claim 27, further comprising grinding said compound prior to the blending of step (a).

5 31. The method of claim 27, further comprising drying the mixture after the homogenizing of step (c) to produce a water dispersible powder.

32. The method of claim 28, further comprising drying the mixture after the homogenizing of step (c) to produce a water dispersible powder.

10 33. The method of claim 27, wherein at step (a) said compound is selected from the group consisting of sitosterol, sitostanol, campesterol, campestanol, taraxasterol, stigmasterol, clionastanol, brassicastanol and brassicasterol, or mixtures thereof.

34. The method of claim 27, wherein at step (a) said protein is isolated from a milk or a vegetable source.

15 35. The method of claim 34, wherein at step (a) said protein is selected from the group consisting of whey protein, soy protein, wheat protein, lupin, corn gluten and caseinate.

36. The method of claim 28, wherein at step (a) said emulsifier has a hydrophilic-lipophilic balance value from about 0.1 to about 10.

20 37. The method of claim 36, wherein at step (a) said aqueous mixture further comprises an emulsifier having a hydrophilic-lipophilic balance value from about 10 to about 14.

38. The method of claim 28, wherein at step (a) said emulsifier is selected from the group consisting of lecithin, monoglycerides, diglycerides, polyglycerol esters, propylene glycol esters, polysorbates, sodium stearyl lactylate, maltodextrins and sucrose esters.

5 39. The method of claim 27, wherein at step (a) the weight ratio of said protein to said compound is from about 1:1 to about 5:1.

40. The method of claim 28, wherein at step (a) the weight ratio of said emulsifier to said compound is from about 0.5:1 to about 2:1.

10 41. An edible composition produced by the method of any one of claims 13, 14, 27 or 28.

42. A method of producing an edible product comprising the steps of:
 (a) blending a first ingredient comprising one or more edible compounds in an appropriate form with a second ingredient comprising the composition of claim 1 or 3 to produce a mixture; and
15 (b) processing the mixture produced in step (a) to produce an edible product.

43. The method of claim 42, wherein said edible product is a solid dry or semi-moist edible product.

20 44. The method of claim 42, wherein said edible product is a beverage or a pourable liquid.

45. An edible product produced by the method of claim 42.

46. An edible product comprising the composition of claim 1.

-22-

47. The product of claim 46, wherein said product is a solid edible product.

48. The product of claim 46, wherein said product is a beverage.

49. The composition of claim 1, wherein said composition lowers serum cholesterol in animals or humans.

50. The composition of claim 3, wherein said composition lowers serum cholesterol in animals or humans.

51. A non-soluble, water-dispersible aqueous edible composition comprising:

(a) a compound selected from the group consisting of phytosterols and phytostanols; and

(b) a maltodextrin;

wherein the weight ratio of said maltodextrin (b) to said compound (a) is from about 0.2:1 to about 10:1.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/01641

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A23L1/30 A61K31/575 A23L1/305

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A23L A61K C07J A23D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, FSTA, BIOSIS

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|---|---|
| A | <p>US 3 881 005 A (DILLER EROLD R ET AL) 29 April 1975 (1975-04-29)</p> <p>column 1, line 6-10,64 -column 2, line 21,25-38,49-64 column 4, line 33-54,58 -column 5, line 7 column 5, line 60 -column 6, line 3,35,36,49-52 claims 1,4,8,14; example 1</p> <p style="text-align: center;">--- -/--</p> | <p>1,2,5-7, 11,13, 15-22, 25-27, 29-35, 39-51</p> |

☒ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

6 October 2000

Date of mailing of the international search report

13. 10. 2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Tallgren, A

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/01641

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|--|--|
| A | EP 0 897 671 A (UNILEVER PLC ;UNILEVER NV (NL)) 24 February 1999 (1999-02-24) claims 1,4,6-10,25,26; examples 1,3 page 3, line 36-55 page 4, line 2-13,22-28 page 5, line 9-31,38-41 page 6, line 12-16,25-28 page 7, line 31,32 --- | 1-51 |
| X A | WO 95 08342 A (INPHARMA SA ;FESTOE NORBERTO (CH)) 30 March 1995 (1995-03-30) claims 1,2,4,5 page 1, line 5-9,15-20 page 2, line 1-6,9-12,23,25-31,37-39 page 3, line 5-22 --- | 1,2,5-7, 11,42-50 3,4, 8-10, 12-41 |
| X | PATENT ABSTRACTS OF JAPAN vol. 1995, no. 08, 29 September 1995 (1995-09-29) & JP 07 118169 A (HIGETA SHOYU CO LTD), 9 May 1995 (1995-05-09) abstract --- | 1,5,41, 44,49,50 |
| A | DATABASE WPI Section Ch, Week 198942 Derwent Publications Ltd., London, GB; Class B05, AN 1989-305476 XP002142408 & JP 01 226812 A (NIPPON OILS & FATS CO LTD), 11 September 1989 (1989-09-11) abstract --- | 1-12, 42-50 |
| A | LANZANI A ET AL: "Minor lipidic constituents typical of certain seed meals and of their proteic derivatives." RIVISTA ITALIANA DELLE SOSTANZE GRASSE 1977 STA. SPERIMENTALE OLI & GRASSI, MILAN, ITALY, vol. 54, no. 11, pages 448-450, XP000923365 page 449, paragraph 2; figure 1; tables 1,2 page 448, paragraph 10 --- | 1-12, 42-50 |
| X | EP 0 947 197 A (MCNEIL PPC INC) 6 October 1999 (1999-10-06) examples 1-4 page 2, line 22-26,33-37 --- | 51 |
| X | DE 38 27 953 A (KANOLDT ARZNEIMITTEL GMBH) 22 February 1990 (1990-02-22) column 2, line 6-26; claims 3-5 --- | 51 |
| | --- -/-- | |

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/01641

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|--|-----------------------|
| X | EP 0 442 350 A (KANOLDT ARZNEIMITTEL GMBH) 21 August 1991 (1991-08-21) page 3, line 29-35; claims 1,2 ----- | 51 |

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 00/01641

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. Claims: 1-50

A water dispersible aqueous composition comprising of phytosterols or phytostanols and protein isolate. An emulsifier is added additionally. The product is used for reducing serum cholesterol in animals or humans. The product can be in dry, semi-moist or liquid (beverage) form. The process includes homogenising and additionally grinding, prilling and drying. Another process includes also heating step before homogenising.

2. Claim : 51

A water dispersible aqueous composition comprising of phytosterols or phytostanols and maltodextrin.

INTERNATIONAL SEARCH REPORT

Information on patent family members

national Application No

PCT/US 00/01641

| Patent document cited in search report | | Publication date | Patent family member(s) | Publication date |
|---|---|---------------------|----------------------------|---------------------|
| US 3881005 | A | 29-04-1975 | AR 202034 A | 09-05-1975 |
| | | | AT 655474 A | 15-02-1977 |
| | | | AU 7155674 A | 29-01-1976 |
| | | | BE 818729 A | 12-02-1975 |
| | | | DD 112899 A | 12-05-1975 |
| | | | DE 2437845 A | 27-02-1975 |
| | | | DK 427674 A | 21-04-1975 |
| | | | FR 2240717 A | 14-03-1975 |
| | | | JP 50048115 A | 30-04-1975 |
| | | | NL 7410862 A | 17-02-1975 |
| | | | SE 7410331 A | 14-02-1975 |
| | | | ZA 7404587 A | 25-02-1976 |
| EP 0897671 | A | 24-02-1999 | BR 9803191 A | 11-01-2000 |
| | | | CA 2245467 A | 22-02-1999 |
| | | | JP 11146757 A | 02-06-1999 |
| WO 9508342 | A | 30-03-1995 | CH 685283 A | 31-05-1995 |
| | | | AT 173631 T | 15-12-1998 |
| | | | DE 69414821 D | 07-01-1999 |
| | | | DE 69414821 T | 08-07-1999 |
| | | | EP 0669835 A | 06-09-1995 |
| | | | ES 2126772 T | 01-04-1999 |
| | | | GR 3029458 T | 28-05-1999 |
| | | | JP 8503964 T | 30-04-1996 |
| JP 07118169 | A | 09-05-1995 | JP 2915296 B | 05-07-1999 |
| JP 1226812 | A | 11-09-1989 | NONE | |
| EP 0947197 | A | 06-10-1999 | US 6110502 A | 29-08-2000 |
| | | | US 6054144 A | 25-04-2000 |
| | | | AU 1735899 A | 08-06-2000 |
| | | | BR 9902325 A | 11-04-2000 |
| | | | CN 1232668 A | 27-10-1999 |
| | | | CZ 9900547 A | 15-09-1999 |
| | | | JP 11313644 A | 16-11-1999 |
| | | | NO 990747 A | 20-08-1999 |
| | | | NZ 334189 A | 29-07-1999 |
| | | | PL 331546 A | 30-08-1999 |
| DE 3827953 | A | 22-02-1990 | BE 1002924 A | 20-08-1991 |
| | | | DE 3844798 C | 27-02-1992 |
| | | | FR 2635462 A | 23-02-1990 |
| | | | IT 1229271 B | 26-07-1991 |
| EP 0442350 | A | 21-08-1991 | DE 4004920 C | 19-09-1991 |
| | | | AT 106732 T | 15-06-1994 |
| | | | DE 59101818 D | 14-07-1994 |
| | | | JP 4211013 A | 03-08-1992 |
| | | | US 5264428 A | 23-11-1993 |